UNITED STATES PATENT APPLICATION FOR

**CLASS LOADING IN A VIRTUAL MACHINE
FOR A PLATFORM HAVING MINIMAL RESOURCES**

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BACKGROUND OF THE INVENTION

Field of Invention

The present invention pertains to the field of
5   processing systems. More particularly, this
invention relates to class loading by a virtual
machine.

Art Background

10   Computer systems and devices having embedded
processing resources typically conform to one of a
variety of differing architectures. Each
architecture is usually defined by a particular
instruction set, hardware register set, and memory
15   arrangement, etc. An architecture may also be
referred to as a hardware platform for software
execution. Software such as application programs
which are written or compiled to be executed on a
particular hardware platform may be referred to as
20   native code. An application program in the native
code of a particular hardware platform usually does
not run on other non compatible hardware platforms.

Some software environments enable application
25   programs to execute on a variety of differing
hardware platforms. Typically, such a software
environment provides a set of predefined services
which are specified in terms of application
programming interfaces (APIs). Such a software
30   environment is commonly implemented in an object-
oriented programming language in which the predefined
services are implemented as predefined classes.

One example of such a software environment is a Java virtual machine. A typical Java virtual machine supports a set of predefined classes. Typically, a Java virtual machine includes a class loader that loads the predefined classes into memory as needed when executing a Java application program. Prior java virtual machines typically load the predefined classes from class libraries contained in a local or a remote file system.

Unfortunately, such class loading may limit the applicability of such a software environment. For example, such a software environment may have limited applicability to embedded systems which may have little or no file system resources for storing the class libraries. In addition, the costs of providing the network access resources needed to load classes from remote class libraries and/or the costs of providing network servers to hold the remote class libraries may be prohibitively high for embedded systems.

SUMMARY OF THE INVENTION

A virtual machine is disclosed with mechanisms for class loading and class structure management in a device having limited file system and/or memory resources. The virtual machine includes a class loader that obtains one or more of a set of predefined classes from a network server, thereby reducing or eliminating the need for a local file system in the device. The class loader stores the predefined classes into a class structure in memory in the device. The virtual machine further includes a memory manager that purges selected ones of the predefined classes from the class structure so as to minimize an amount of the memory consumed by the predefined classes in the class structure and to minimize class loading activities via a network. The class loader uses network prevalent mechanisms such as HTTP to minimize costs of network class loading.

Other features and advantages of the present invention will be apparent from the detailed description that follows.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is described with respect to particular exemplary embodiments thereof and reference is accordingly made to the drawings in which:

Figure 1 shows a virtual machine that enables execution of application programs in a device having relatively limited resources;

Figure 2 shows one embodiment of a class loading method according to the present teachings;

Figure 3 illustrates a method for maintaining optimal use of the memory resources that store classes being used by application programs;

Figure 4 shows an example hardware embodiment of a device that benefits from the teachings provided herein.

DETAILED DESCRIPTION

Figure 1 shows a virtual machine 12 that enables execution of an application program 24 in a device 10 having relatively limited resources. The limited resources of the device 10 may be characterized by limited or non existent file system resources. Alternatively or in addition, the limited resources of the device 10 may be characterized by limited memory resources.

The virtual machine 12 enables execution of one or more application programs such as the application program 24. The application program 24 is written to invoke one or more of a set of predefined classes that are supported by the virtual machine 12. The virtual machine 12 loads these predefined classes into a class structure 22 as needed during execution of the application program 24. In one embodiment, the predefined classes are Java classes and the virtual machine 12 is a Java virtual machine.

The virtual machine 12 includes a class loader 16 that reduces or eliminates the need for providing a file system in the device 10 by loading the predefined classes via a network 30. In one embodiment, the predefined classes are loaded from a set of class files 28 contained on a network server 26. In other embodiments, the class files 28 may be distributed among several network servers accessible via the network 30.

The class loader 16 includes a network client 18
for accessing the network server 26 and an underlying
network protocol stack 20 for communicating with the
network server 26 via the network 30. The particular

5 protocol for communication between the network client
18 and the network server 26 is preselected so as to
minimize development and/or manufacturing costs
associated with the device 10. In one embodiment,
the network server 26 is a hyper-text transfer

10 protocol (HTTP) server and the network client 18 is
an HTTP client. The network protocol stack 20 in
this embodiment includes the TCP/IP layers and layers
that provide communication according to the
particular physical implementation of the connection

15 to the network 30.

The HTTP protocol may be preferred in that it is
widely used network prevalent protocol. As a
consequence, HTTP client and network protocol stacks

20 are readily available for a variety of platforms.
This helps minimize the cost and reduce the
development time and ease the implementation of the
virtual machine 12 in a variety of devices. For
example, the device 10 may be implemented using a

25 platform in which HTTP client and underlying layers
are readily available and need not be independently
developed. Moreover, the relatively low cost and
wide availability of existing HTTP servers offers
additional advantages. For example, the network

30 server 26 may be an existing HTTP server to which the
class files 28 are added to support the device 10.
This would eliminate the costs associated with

installing a network server which is dedicated to
providing class files to the device 10.

The virtual machine 12 includes a memory manager
5   14 that minimizes the amount of memory resources
needed in the device 10 to hold the predefined
classes being used for execution of the application
program 24. The memory manager 14 monitors the
classes stored in the class structure 22 and purges
10   selected ones of the classes from the class structure
22 so as to provide optimal use of the memory
resources in the device 10. In addition, the memory
manager 14 monitors the classes stored in the class
structure 22 and purges selected ones of the classes
15   from the class structure 22 so as to minimize the
amount of class loading performed via the network 30.

The device 10 represents any device which may
20   benefit from the advantages provided by the virtual
machine 12. This may include devices with relatively
little or no file system resources and or minimal
memory resources. The device 10 may be an embedded
system. Examples of embedded systems include
25   telephones, audio and video equipment, home
appliances, and computer peripherals.

Figure 2 shows one embodiment of a class loading
method implemented in the class loader 16. The steps
30   shown are performed by the class loader 16 in
response to a request by the virtual machine 12 to
load a particular class of the predefined classes
that support execution of the application program 24.

The particular class may be, for example, a class having a method which is being invoked by the application program 24.

5   At step 50, the class loader 16 obtains a uniform resource locator (URL) for the network class files 28. In one embodiment, the appropriate URL or URLs for the network class files 28 are specified in one or more NETWORK CLASS PATH definition statements

10   which are provided to the virtual machine 12. An example NETWORK CLASS PATH definition statement is as follows.

NETWORK CLASS PATH="netserver/80"

15   where "netserver/80" is a URL of the class files 28. The class files 28 are exported by the network server 26.

20   At step 52, the class loader 16 generates an HTTP GET command that specifies the particular class file being requested. The HTTP GET command is provided to the network client 18 which in turn issues the HTTP GET command to the network server 26

25   via the network protocol stack 20. An example of an HTTP GET command is as follows:

GET "netserver/80/foo.class"

where "foo.class" is the particular class being

30   loaded, and "netserver/80" is a URL specified in a NETWORK CLASS PATH definition statement. If multiple URLs are specified in NETWORK CLASS PATH definition statements then the class loader 16 generates an HTTP

GET command for each at step 52 until the particular class file is found. The multiple URLs may be sub-paths on one network server such as the network server 26 or pathnames for multiple network servers

5   or any combination thereof.

In response to an HTTP GET command issued at step 52, the network server 26 returns a data stream containing the particular class file to the network

10   client 18. The network client 18 provides the returned file data stream to the class loader 16 at step 54.

At step 56, the class loader 16 converts the

15   class file data stream into a predefined class definition format and loads it into the class structure 22. In one embodiment, the predefined class definition format includes arrays and tables for storing methods and references or addresses to

20   the methods in accordance with the Java object-oriented programming language. Thereafter, the virtual machine 12 may create instances of the newly loaded particular class for use by the application program 24.

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Figure 3 illustrates one embodiment of a method implemented in the memory manager 14 for maintaining optimal use of the memory resources in the device 10 that hold the class structure 22. The methods steps

30   shown may be performed periodically at predetermined time intervals such as during system idle periods. Alternatively or in addition, the methods steps shown may be performed whenever it is detected that the

memory resources in the device 10 are below a
predetermined threshold level of available memory.

At step 60, the memory manager 14 determines
which of a set of classes currently stored in the
class structure 22 is the least recently used class.
The virtual machine 12 may associate a time value to
each class contained in the class structure 22 that
indicates a time at which an instance of the
corresponding class was created. The memory manager
14 may select the least recently used class by
selecting on oldest of these time values.
Alternatively, count values or other metrics may be
used to indicate a relative ordering of the creation
of objects from the classes contained in the class
structure 22.

The memory manager 14 selects the least recently
used class as a candidate to purge from the class
structure 22. In this embodiment, a purging of the
least recently used class may minimize class loading
via the network 30 because more recently used classes
are more likely to be needed by the virtual machine
12 to create new objects. The need for subsequent
class loading operations on the more recently used
classes may be reduced if these classes are retained
in the class structure 22. In other embodiments,
other criteria for selecting classes as candidates
for purging may be employed. For example, certain
types of classes or classes that perform particular
types of functions are known to be relatively
infrequently invoked and may be selected at step 60.

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At step 62, the memory manager 14 determines whether the class selected from step 60 is in use. The selected class is in use if it is associated with one or more objects being used by the application

5 program 24. For example, if an object used by the application program 24 is an instance of the selected class then the selected class is in use for the purposes of step 62. Similarly, if an object used by the application program 24 is an instance of a

10 subclass or a parent class of the selected class then the selected class is in use for the purposes of step 62. The virtual machine 12 may maintain a list that specifies the hierarchical associations, i.e. parent and child relationships, of the classes contained in

15 the class structure 22. The memory manager 14 may use this list of associations to render the determination at step 62.

If the selected class is in use at step 62 then

20 the memory manager performs step 64. At step 64, the memory manager 14 determines the next least recently used class and proceeds to step 62 to determine whether the next least recently used class is in use. The memory manager 14 loops through steps 62 and 64

25 until a candidate for purging is selected. The memory manager then proceeds to step 66 if an appropriate class can be selected.

At step 66, the memory manager 14 purges the

30 class selected at step 60 or 64 from the class structure 22. At step 68, the memory manager 14 deletes objects from memory that are associated with the purged class. The virtual machine 12 may

maintain a list of associations between the classes contained in the class structure 22 and instances of these classes, i.e. objects, contained in memory in the device 10. The memory manager 14 may use this

5   list to locate objects to be deleted at step 68.

In an alternative embodiment, the memory manager 14 deletes the selected class and all of its associated objects regardless of whether the selected

10   class is in use.

In addition, the memory manager 14 may provide a function for explicitly deleting one or more classes which is callable by application programs such as the

15   application program 24. This enables an application program to clean up unneeded classes from memory. This helps prevent unneeded classes from cluttering what may be a relatively limited memory space in the device 10.

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Figure 4 shows an example hardware embodiment of the device 10 which includes a processor 96 for executing the virtual machine 12 and the application program 24 and other software or firmware associated

25   with the device 10. The limited memory resources for holding classes that are being used by application programs is represented by a memory 90 which is a random access memory. The memory 90 holds the class structure 22 and may hold the application program 24

30   and/or elements of the virtual machine 12 as well as instances of classes including classes contained in the class structure 22. Alternatively, the application program 24 and/or elements of the virtual

machine 12 may be stored in a persistent memory (not shown) in the device 10.

In this embodiment, the limited file system resources of the device 10 are represented by a set of file system resources 92. The file system resources 92 may represent elements such as magnetic media including rotating media or solid-state devices or other storage mechanisms. In other embodiments, the device 10 does not have any file system resources.

The device 10 also includes a set of network access resources 94. The network access resources 94 represent the appropriate hardware and software elements that enable communication via a network 30 using the hyper-text transfer protocol (HTTP). The physical communication path supported by network access resources 94 may be a communication link such as Ethernet, a wireless communication link including infrared, a radio link including cellular radio, or a serial or parallel communication link depending on the nature and cost constraints associated with the design of the device 10.

The foregoing detailed description of the present invention is provided for the purposes of illustration and is not intended to be exhaustive or to limit the invention to the precise embodiment disclosed. Accordingly, the scope of the present invention is defined by the appended claims.

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